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Performance of a Low Profile, Concentrating Solar Thermal Collector for Industrial Process Heating Applications

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Abstract

Recent studies have demonstrated that solar heat has a critical role to play in providing heat for industrial processes. In the present work, the thermal performance of a novel low profile concentrating solar thermal collector as a means to replace gas usage by mounting it on the rooftop of factories is investigated. The proposed collector incorporates an internal linear tracking system that concentrates beam radiation during a large part of a sunny day without external or rotational motion. The configuration presented here is suitable for an industrial metal process heating (drying and cleaning) application. In this paper, the annual behavior of the collector has been simulated for this application with TRNSYS (Transient System Simulation Tool) software in order to study its performance and economic feasibility. The annual solar fraction and economic metrics were used as selection criteria among design options (e.g. varying the solar array, storage tank sizes, and control methods). Assuming a constant thermal load of 260 kW_{th} per day, the optimal total area of the collectors was calculated to be 200 m² for this application. Overall, the results show that, for the meteorological data of Sydney, the proposed concentrating collector can reach a solar fraction of 53%, while achieving positive economic returns. A preliminary economic analysis of this system indicates that a government subsidy of 50% of the capital equipment will reduce the payback period to 11 years. Due to the fact that solar-derived industrial heat production is an emerging market, further research and economies of scale could potentially be expected to drastically improve the economic viability of these types of systems going forward.

Keywords: Solar thermal; Concentrating collector; Industrial Process

1. Introduction

Industrial process heat represents a large piece of the global energy pie and the global economy is largely on the goods and services these heat inputs enable. Industrial operations around the world account for more than 11 million GW_{th} hours each year (excluding power generation) [1,2]. In Europe, at the industrial scale, two third of the final energy consumption is spent for heating applications [3]. A large part of this heat is provided through consumption of gas, coal, and other non-renewable fuels. Therefore, finding and developing sustainable ways of providing heat for industry is necessary if we truly hope to decarbonize our economy [4,5].

Solar energy is the largest energy resource on earth. In two hours, the energy received by the land and the oceans is greater than the energy consumed by the world in one year [6]. Given the available large collection area of a typical factory, this resource is critically under-developed – particularly for providing process heat energy.

According to a 2014 IEA report, only 132 plants (~100 MW) are installed around the world to provide heat for industrial processes [7]. At intermediate and high temperatures (more than 100 °C), most of the installed solar systems are

based on parabolic troughs, which are not suitable for rooftop installation. Therefore, the authors believe that market is primed for the development of new rooftop solar thermal collector technology that can provide heat between 100 °C and 400 °C. As a result, this work studies the behavior of a novel concentrating collector design (developed at UNSW [8,9,10,11]) – a design which is suitable for rooftop use and which can achieve these temperatures. To this end, a parametric optimization of the collector and the system was undertaken, and the resulting system was evaluated in terms of its economic performance.

2. Collector design

The collector used in this study is a low-profile concentrating solar thermal collector, with a height of 13 cm (Figure 1). It is designed to deliver heat energy in the range of 100-250 °C, which is useful for many industrial heating processes [8].

The collector consists of three Fresnel lens segments, each of which are 1.5 meters long and 0.15 meters wide. These lens segments then focus light down into compound parabolic secondary concentrators (CPC) and onto the absorbers (black chrome-coated copper tube, shown in Fig. 1)[10,11]. To track the sun throughout the day, the collector has an internal mechanism to move the CPC/absorber assembly horizontally

to keep them in the focal zone of the lens. The tracking system is effective as long as the incidence angle of the sunlight on the collector is between 0 and 45° – i.e. ± 3 hours from solar noon. Outside of these incidence angles, the optical efficiency begins to drop off rapidly. In order to operate at >100°C, vacuum insulation is employed around the absorbers. The vacuum minimizes the conductive and convective heat loss from the tube absorbers.

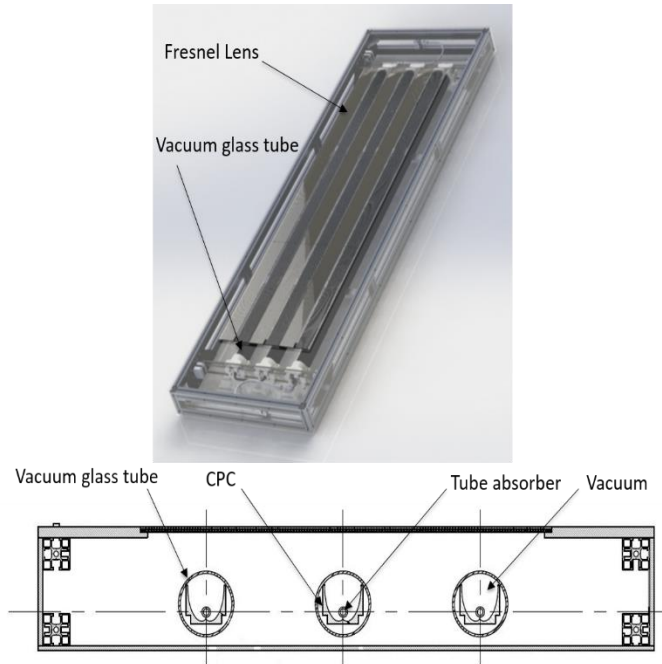


Fig. 1 Collector design [Top: 3D view of the collector; Bottom: Cross-sectional view of the collector]

3. Properties of the collector

While the behaviour of the collector has been tested and modelled previously at the component level [8], the aim of this paper is to provide the first study of its long term performance in a characteristic industrial process heating application. The behaviour of the collector was studied by means of numerical simulations performed with the TRNSYS software. For this purpose, the ‘type 1245’ component from the TESS Library [12,13] was used, which represents a generic concentrating collector. To accurately represent the collector described above, the experimentally determined collector performance parameters were input into this ‘type’. As such, all of the following parameters have been taken into account for the simulation: concentration ratio (aperture area: receiver surface area); collector efficiency as a function of the mean receiver temperature, and the incident angle modifier (which represents the change in the optical efficiency when the incident angle of the sunlight on the collector increases) [14].

3.1. Thermal Efficiency

The non-linear efficiency equation of the collector is given as Eq. 1. The optical efficiency obtained through experimental tests was 0.80. The first order and second order loss coefficient were found to be 0.64 and 0.004, respectively. The experimental results obtained during the tests are shown in Figure 3.

$$\eta = 0.80 - 0.64 \frac{T_m - T_a}{G_b} - 0.004 \frac{(T_m - T_a)^2}{G_b} \quad (1)$$

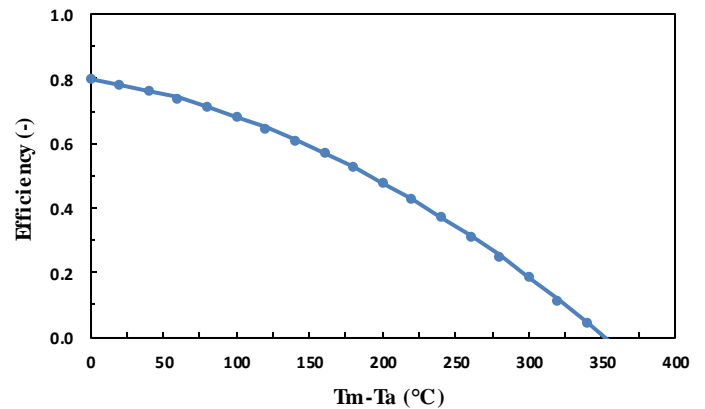


Fig. 3 Efficiency of the collector ($G_b = 900 \text{ W/m}^2$)

3.2. Optical Performance

Another important parameter – particularly for annual simulations – is the IAM (Incident Angle Modifier). It can be considered as a de-rating factor to the first term (0.8) in equation 1. This can be represented, simply, by Eq. 2:

$$IAM(\theta) = \frac{\text{optical_efficiency}(\theta)}{\text{optical_efficiency}(0)} \quad (2)$$

Eq. 2, θ can be broken into two orthogonal angles: the transverse and the longitudinal IAM. For angles greater than 45°, it was found (experimentally) that both IAMs approach zero for this collector design involved (e.g. no heat production), as shown in Figure 4.

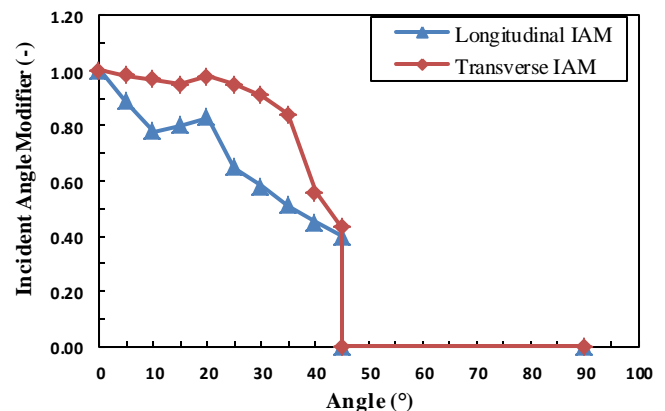


Fig. 4 Transverse and Longitudinal IAM

~33°. As a first step, we determine the amount of solar collector area needed, shown in Figure 6.

For a collector area of 200 m², the solar fraction is 53 %. This data point corresponds to the best operation of the collector, achieving the best solar fraction before that the curve flattens. This result will be taken as a reference for the following comparisons.

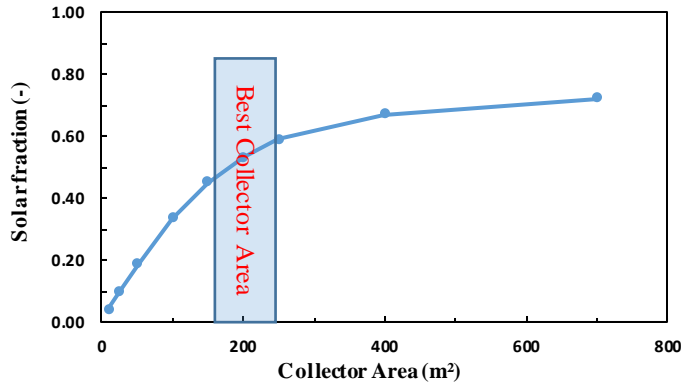


Fig. 6 Effect of collector area on the solar fraction (tank volume = 4 m³; collector flow rate = 500 kg/hr)

The performance of the system depends also on the size of the tank and the flow rate circulating in the collector. In Figure 7, the size of the tank is fixed at 4 m³, the collector area is fixed at 200 m², and the effect of the flow rate delivered by the pump is investigated.

The flow rate that maximizes the solar fraction is 500 kg/hr in these conditions. When the flow rate is lower than that value, the temperature of the fluid often becomes very high, reducing the efficiency of the collector and the storage of energy for the night. Conversely, for flow rates higher than 500 kg/hr, the temperature rise in the collector is small, indicating that auxiliary heater provides a large fraction of the annual energy, leading to a decrease of the solar fraction. The pumping power of the system has been neglected so far – therefore the value of 500 kg/hr corresponds to the best thermal efficiency but not to the actual design choice, which should be smaller to reduce the pumping power consumed.

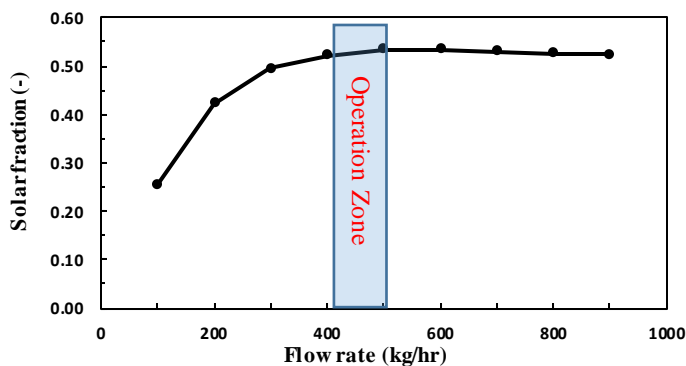


Fig. 7 Effect of flow rate in the collector on the solar fraction (tank volume = 4 m³; collector area = 200 m²)

The effect of the volume of the tank was also investigated. Based on the results above, the flow rate was fixed at 500 kg/hr and collector area of 200 m² was assumed to determine a good storage tank volume. The results of this sizing are shown in Figure 8.

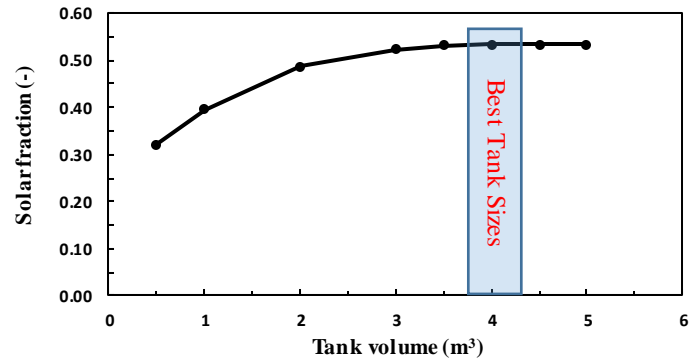


Fig. 8 Effect of tank size on the solar fraction (collector flow rate = 500 kg/hr; collector area = 200 m²)

The volume that maximizes the solar fraction is 4 m³. For smaller volumes, the tank is not able to store the total energy available. On the other hand, for larger volumes, the energy losses increase, so that the solar fraction is not improved further. For these reasons, 4m³ was chosen to guarantee a high level of storage while keeping the tank loss low. However, the actual design choice might be smaller to reduce the capital cost of the tank.

In the simulations presented so far, an On/Off controller that delivers a nominal flow rate to the collector was employed. The behaviour of the system when an iterative feedback controller ('Type 22') is applied was also studied [18]. This kind of controller activates a variable speed pump in order to obtain a fixed collector outlet temperature of 180°C, by changing the flow rate depending on the irradiation. The results obtained are shown in Figure 9.

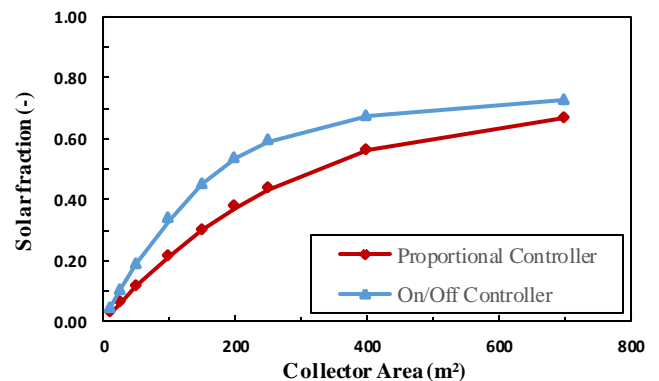


Fig. 9 Effect of collector area on the solar fraction (tank volume = 4m³)

The solar fraction values attained with the iterative feedback controller were lower than those reached by means of the On/Off controller. This occurred for every value of collector area simulated. This happened because the feedback control method does not harvest any solar energy when the collector temperature is lower than 180°C. On the other hand, by using an on/off controller, a flow rate is circulated in the collector even when the collector temperature is slightly higher than the fluid in the tank. So, the collector contributes to the increase of temperature of the working fluid even when it is unable to reach 180 °C. As indicated by Fig. 9, an On/Off controller represents the better solution and, thus, is our choice for this system.

5.2. Influence of climate on annual system performance

The performance of the collector design – using the decisions from the previous sections – was investigated for two additional cities: Alice Springs and Paris. Alice Springs is located in the Australian desert, a location with very high direct normal irradiation. Paris, conversely, does not have excellent resources, but does have a considerable industrial heating demand. The results are presented in Figure 10.

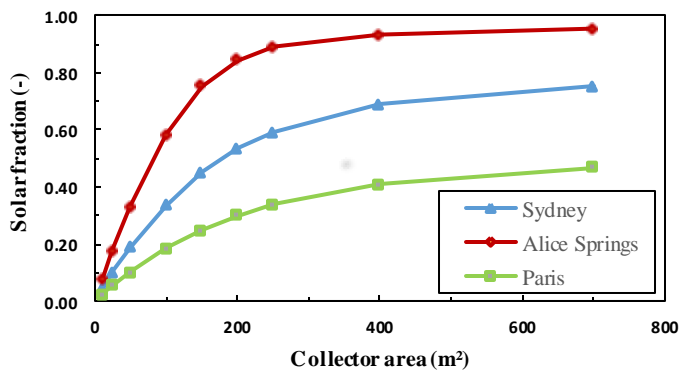


Fig. 10 Effect of collector area on the solar fraction (tank volume = 4m³; collector flow rate = 500 kg/hr) for three different cities: Sydney (slope of the collector 33°, facing north), Alice Springs (27°, facing north) and Paris (42°, facing south)

The performance of the collector is significantly affected by the beam radiation, which is highly variable by location/climate. The beam radiation in Paris is lower than Sydney and much lower than Alice Springs, yielding the lowest energy harvesting potential.

Table 3 Economic feasibility results

		Sydney	Alice Springs	Paris
Price of gas constant	Without subsidies	Payback Time (years)	99	21 /
	With subsidies of 50 %	Payback Time (years)	16	8 30
		Net Present Value (AUD)	14, 100	85, 788

6. Economic and environmental analysis

Solar systems (generally) have high capital and installation costs, but low operating costs [19, 20]. This high investment is the main barrier for the development of solar technologies.

To determine if any of these systems are economically feasible, a simple economic analysis was performed for the reference case involved (collector area 200 m², solar fraction 53 %) over an estimated lifespan of 20 years. The capital cost of the collector comes from various manufacturer quotations. A discount rate was applied to the cash flow each year. Government subsidies were also applied to reduce the capital cost and therefore the payback period of the installation. A carbon tax was also applied to take into account the environmental benefit of the solar system. A projection of the price of the gas was used to get a more accurate economic analysis. The specific values applied are summarized in the Table 2.

Table 2 Data used for the economic analysis of the system design

Parameter	Value	Unit
Collector Area	200	m ²
Cost of collector	800	AUD/m ²
	160,000	AUD
Installation cost	32,000	AUD
Subsidies	0-50	%
Price of gas in Sydney	0.042	AUD/MJ
Price of gas in Paris	0.049	AUD/MJ
Carbon Tax	25	AUD/ton CO ₂
Discount Rate	5	%
Life span of the system	20	years

The results of the full economic analysis are given in the Table 3. The payback period is defined as the length of time required to recover the initial investment costs. The net present value is the sum of all the cash flows, with the discount rate applied on them. The internal rate of return is the discount rate that makes the net present value equal to zero.

Although recent trends are different, historical trends indicate that gas prices tend to increase over time. Thus, we chose to use a rate increase in the gas price of 2%, 5%, and 10% annually.

		Internal Rate of Return (%)	7%	16	2
Price of gas +2 % annually	Without subsidies	Payback Time (years)	36	16	82
	With subsidies of 50 %	Payback Time (years)	13	8	22
		Net Present Value (AUD)	32, 700	116, 491	-5, 746
		Internal Rate of Return (%)	9	18	4
Price of gas +5 % annually	Without subsidies	Payback Time (years)	23	14	33
	With subsidies of 50 %	Payback Time (years)	11	7	16
		Net Present Value (AUD)	69, 641	177, 559	20, 336
		Internal Rate of Return (%)	12	21	7
Price of gas +10 % annually	Without subsidies	Payback Time (years)	16	11	16
	With subsidies of 50 %	Payback Time (years)	9,5	6	12,5
		Net Present Value (AUD)	168, 053	340, 088	89, 748
		Internal Rate of Return (%)	17	27	12

A discount rate of 5% is also a reasonable assumption, given today's bank loan rates. For these scenarios, the high capital costs make economic viability questionable without subsidies. Even in the best location, Alice Springs, a payback time of 11-21 years is estimated, depending on the gas price.

However, in case of 50% government subsidies, the payback time is reduced noticeably and the system achieve satisfactory economic returns.

For the reasonable estimation of a gas price augmentation of 5 % annually, the payback time in the three cities are all achieved during the life span of the collector (7 years for Alice Springs, 11 years for Sydney, 16 years for Paris), leading to a substantial net present value.

7. Conclusions

The behaviour of a rooftop low profile (< 15cm) concentrating solar thermal collector for an industrial process heating application was investigated. The application is a metal washing and drying process which requires 180 °C. The daily thermal load is 260 kWh, and the process is assumed to be continuous (day and night). Our simulations indicated that for a collector area of 200m², a solar fraction of 53% can be realised in Sydney. With government subsidies of 50% of the capital outlay, and with a reasonable estimation of the gas price escalation (+5% annually), the system yields a payback period of 11 years and a net present value of \$69,641 for a system with a 20 years life. Due to the fact that solar-derived industrial heating is still in its infancy, further research and development could drastically reduce the capital cost and improve the efficiency of this kind of system, leading to much more attractive payback time and net present value.

Abbreviations

η	Efficiency of the collector (-)
T_m	Average temperature in the collector (°C)
T_a	Ambient temperature (°C)
G_b	Beam radiation (W/m ²)
G_d	Diffuse radiation (W/m ²)

θ	Incidence angle of the sunlight on the collector (°)
θ_t	Transverse incidence angle (°)
θ_l	Longitudinal incidence angle (°)
IAM	Incident Angle Modifier (-)
IAM_t	Transverse Incident Angle Modifier (-)
IAM_l	Longitudinal Incident Angle Modifier (-)
C_r	Concentration ratio (-)
a_0	Intercept efficiency (-)
a_1	1st Order Loss Coefficient (W/m ² .K)
a_2	2nd Order Loss Coefficient (W/m ² .K ²)
SF	Solar Fraction (-)
Q_{coll}	Energy transferred from the collector to the fluid (kJ)
Q_{loss}	Energy loss via the tank (kJ)
Q_{aux}	Energy transferred from the heater to the fluid (kJ)

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I would like to thank Professor Robert Taylor and the University of New South Wales for offering me an internship that corresponds to my interest in the field of solar engineering.

Appendix

Table 1 List of the TRNSYS types used in the simulation deck.

Component	Type	Comment
Controller	Type 2b	On/off with hysteresis
Auxiliary Heater	Type 6	TRNSYS 17 Library
Diverter	Type 11b	TRNSYS 17 Library
Tee	Type 11h	TRNSYS 17 Library
Tank	Type 60c	Stratified fluid storage tank
Solar collector	Type 1245	TESS Library
Pump	Type 110	Variable speed pump
Weather data generator	Type 109	Data format: tm2

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CESD-Net is a major global initiative in energy and sustainable development. The objective of network is to promote energy and sustainable development in commonwealth countries.

Focussing on Multidisciplinary Research, Promoting Future Low Carbon Innovations, Transferring Knowledge and Stimulating Networking among Stakeholders to Ensure the UK Achieves World Leading Status in Energy and Sustainable Development. <https://www.weentech.co.uk/cesd-net/>

The 1st International Conference on Energy, Environment and Economics (ICEEE 2016) was held at Heriot-Watt University, Edinburgh, EH14 4AS, UK, 16-18 August 2016. ICEEE2016 focused on energy, environment and economics of energy systems and their applications. More than fifty eight delegates from 31 countries with diverse expertise ranging from energy economics, solar thermal, water engineering, automotive, energy, economics and policy, sustainable development, bio fuels, Nano technologies, climate change, life cycle analysis etc. made conference true to its name and completely international. During conference total 51 oral presentations and six posters were shared between delegates. The presentations showed the depth and breadth of research across different research areas ranging from diverse background. ICEEE2016 aimed:

- To identify and share experiences, challenges and technical expertise on how to tackle growing energy use and greenhouse gas emissions and how to promote sustainability and economical, cost effective energy efficiency measures.

In total 11 technical sessions and two invited talks both from academia and industry provided insight into the recent development on the proposed theme of the conference. Preparation, organisation and delivery of the conference started from July 2015 and further co-ordinated by vibrant team of Conference Centre, Heriot Watt University. Conference organisers would like to acknowledge support from the sponsors particularly World Scientific Publication Ltd and its team members for the delivery of the conference. Organisers are also thankful to all reviewers who contributed during peer review process and their contributions are well appreciated. At the end and during vote of thanks following awards have been announced and we would like to congratulate all well deserving delegates.

- Best Paper –Academia: Amela Ajanovic, EEG, TU Vienna, Austria
- Best Paper – Student : Christian Jenne, University of Duisburg-Essen, Germany
- Best Poster – Student: Yoann Guinard, University of New South Wales, Sydney, Australia
- Best Poster – Academia: E. Salleh, Universiti Kebangsaan Malaysia, Malaysia
- Active Participation Award - Yoann Guinard, University of New South Wales, Sydney, Australia

At the end we would like to extend our gratitude to all of you for your participation and hopefully welcome you again during ICEEE2017.

Editors:

Dr. Singh is Senior Scientist at Indian Agricultural Research Institute, New Delhi, India. Her area of expertise are bio energy and bio fuels, environmental engineering, carbon accounting and renewable energy integration for rural development.

Dr. Kumar is visiting faculty at Prince of Songkla University, Thailand. He have 16 years of research and teaching experience in the field of solar energy, drying and energy efficiency.

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